



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/775,124	02/11/2004	Kenichi Kawaguchi	60188-767	2618

7590 10/18/2007  
Jack Q. Lever, Jr.  
McDERMOTT, WILL & EMERY  
600 Thirteenth Street, N.W.  
Washington, DC 20005-3096

EXAMINER
----------

LEE, CHUN KUAN

ART UNIT	PAPER NUMBER
----------	--------------

2181

MAIL DATE	DELIVERY MODE
-----------	---------------

10/18/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/775,124	Applicant(s) KAWAGUCHI, KENICHI	
	Examiner Chun-Kuan (Mike) Lee	Art Unit 2181	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 27 August 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 2-8, 11 and 12 is/are pending in the application.
- 4a) Of the above claim(s) 11 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-8 and 12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 July 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### **RESPONSE TO ARGUMENTS**

1. Applicant's arguments filed 07/02/2007 have been fully considered but they are not persuasive. Currently, claims 1 and 9-10 are canceled, claim 11 is withdrawn and claims 2-8 and 12 are pending for examination.
2. In response to applicant's arguments, on page 7, last paragraph to page 8, 1<sup>st</sup> paragraph, regarding the amended independent claim 1 rejected under 35 U.S.C. 103(a) that the combination of references does not teach/suggest the amended claimed limitation of "... during the single bus cycle, the bus is driven continuously ..." because the combination of reference does not teach that enable the bus to be always be driven, while the writing of data into the memory is controlled by the control line; applicant's arguments have fully been considered, but are not found to be persuasive.

Kato does teach the amended claimed limitation of "... during the single bus cycle, the bus is driven continuously ..." as the bus is continuously driven (e.g. does not require re-initialization of the DMA controller) while the writing of data is controlled by the read/write controller (Fig. 1, ref. 14) (Kato, col. 1, ll. 47-59 and col. 3, l. 43 to col. 4, l. 25).

### **I. INFORMATION CONCERNING OATH/DECLARATION**

**Oath/Declaration**

3. The applicant's oath/declaration has been reviewed by the examiner and is found to conform to the requirements prescribed in **37 C.F.R. 1.63**.

**II. INFORMATION CONCERNING DRAWINGS**

**Drawings**

4. The applicant's drawings submitted are acceptable for examination purposes.

**III. ELECTION / RESTRICTIONS**

**Election/Restrictions**

5. Applicant's election of Species I, including claims 2-8 and 12, in the reply filed on 08/27/2007 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

**IV. REJECTIONS BASED ON PRIOR ART**

**Claim Rejections - 35 USC § 103**

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 2 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant Admitted Prior Art (AAPA) in view of Kato (US Patent 5,333,290).

AAPA teaches a data transfer control system and method connected to a bus for controlling a data transfer to a device on the bus, comprising:

a data storing step (Drawings, data register 143 of Fig. 19) comprising means for storing data (Drawings, Fig. 19-20B and Specification, page 1, l. 9 to page 2, l. 7);

a transferred-word number storing step (Drawings, transferred-word number register 103 of Fig. 19) comprising means for storing the number of words of data which are to be transferred (Specification, page 1, l. 9 to page 2, l. 7);

a bus cycle controlling step (Drawings, cycle control section 105 of Fig. 19) comprising means for controlling the data transfer such that, during a burst transfer, in a single bus cycle, a write control line (byte enable register of Fig. 20A-20B) of the bus is placed in a write-enabled state (i.e. byte enable register set to "0000") for a one word-data transfer period (i.e. period during the transferring of "Data1") and that data including a number of words which is equal to the number stored in the transferred-word number storing means is transferred while the write control line is in the write-enabled state (Specification, page 1, l. 9 to page 2, l. 7); and

wherein the destination addresses (e.g. addresses "4000000" and "4000008"), to which the plurality of one word data (e.g. "Data1" and "Data2") included in the data are to be transferred, are equally spaced (e.g. "000004" bytes) (Fig. 20A-20B).

AAPA does not teach the data transfer control system and method connected to the bus for controlling the data transfer to the device on the bus comprising:

a transfer interval storing step of storing an interval between data destination addresses, equally separating the addresses; and

the bus cycle controlling step, during the single bus cycle, the bus is driven continuously and the write control line is place in a write-disable stated for (N-1) word period periodically, wherein N is the number store in the transfer interval storing step.

Kato teaches a direct memory access (DMA) data transferring system and method comprising:

a transfer interval storing step of storing an interval between data destination addresses, equally separating the addresses (col. 3, ll. 12-15 and col. 3, l. 53 to col. 4, l. 25), wherein the combination of a jump start address register (Fig. 1, ref. 16) and a jump end address register (Fig. 1, ref. 18), initialized by a CPU (Fig. 1, ref. 300), stores the intervals which provide when data are to be transferred or not to be transferred; and

the bus cycle controlling step (e.g. control associated with DMA controller 100 of Fig. 1 having the read/write controller 14 of Fig. 1), during the single bus cycle, the bus is driven continuously (e.g. does not require re-initialization of the DMA controller) and the write control line is place in a write-disable stated for (N-1) word period periodically, wherein N is the number store in the transfer interval storing step (col. 1, ll. 47-59 and col. 3, l. 43 to col. 4, l. 25), as the read/write controller for enabling and disabling the write control line periodically, such that the write control line is enabled during the data transferring intervals and disabled during the non-data transferring intervals as indicated by the jump start address register and the jump end address register, wherein the jump

Art Unit: 2181

start address register and the jump end address register would store the corresponding transfer interval.

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Kato's DMA controller into AAPA's data transfer control system and method for the benefit of optimize the efficiency of data transferring by enabling the large amount of data transferring without the intervention of the CPU (Kato, col. 1, ll. 47-59 and col. 3, ll. 49-52) to obtain the invention as specified in claims 2 and 12.

7. Claims 3 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA in view of Kato (US Patent 5,333,290) as applied to claim 2 above, and further in view of Sheafor et al. (US Patent 6,321,285) and Kreifels (US Patent 4,891,788).

8. As per claim 3, AAPA and Kato teach all the limitations of claim 2 as discussed above, where AAPA further teaches the data transfer control system comprising:

cycle start address storing (AAPA, Drawings, cycle start address register 108 of Fig. 19) means for storing a start address of a bus cycle (AAPA, Drawings, Fig. 19-20B and Specification, page 1, l. 9 to page 2, l. 7); and

interrupted-cycle resuming (AAPA, Drawings, interrupted-cycle resuming section 105c of Fig. 19).

AAPA and Kato does not expressly teach the data transfer control system further comprising:

resumption address calculating means for calculating a destination address of second data ...; and

means for transferring the address calculated by the resumption address calculating means to the cycle start address storing means to start a new bus cycle ...

Sheafor teaches a data transfer control system comprising the interruption of the connection between the master device and the slave device, as the master device detect and thus informed by the slave device of said interruption, the master device then restart transfer of next set of data with a new address (col. 38, l. 34 to col. 40, l. 32), wherein the derivation of the new address would obvious require calculation.

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Sheafor's new address upon initiation of the interrupt by the slave device into AAPA and Kato's data transfer control system for the benefit of proper transfer of data between master device and slave device upon detection of the interrupt in the connection initiated by either the master device or the slave device (Sheafor, col. 38, l. 34 to col. 40, l. 32) to obtain the invention as specified in claim 3.

The resulting combination of the references teaches the data transfer control system further comprising upon detection of the interrupt while data transferring between the master device and the slave device, wherein the master device detect and thus informed by the slave device of said interrupt, the master device then calculate the new address and restart transfer of next set of data with the new address; and the new



address would have been transferred to the cycle start address storing means as the cycle start address storing means provides the start address for the new bus cycle.

Kreifels teaches a data transfer control system comprising a dual port FIFO, wherein said dual port FIFO can implement read operation and write operation independently (Fig. 1 and col. 1, ll. 15-24), as the dual ported FIFO can implement the write operation while the read operation is disabled.

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Kreifels's dual port FIFO into AAPA, Kato and Sheafor's data transferring control system for the benefit of providing a truly asynchronous operation, as the write operation and be independent of the read operation (Kreifels, col. 1, ll. 15-24) to obtain the invention as specified in claim 3. The resulting combination of the references teaches the implementing the write operation into the dual port FIFO while the read operation is disabled by the write-disable stated, as data are not read from the dual port FIFO to be written.

9. As per claim 7, AAPA, Kato, Sheafor and Kreifels teaches all the limitations of claim 3 as discussed above, where AAPA and Kreifels further teach the data transfer control system further comprising wherein the bus cycle controlling means drives next one-word data to be transferred onto a data line (AAPA, Drawings, Data 1 transferring from data buffer to data register on Fig. 20A) when the write control line is in the write-disabled state (Kreifels, Fig. 1 and col. 1, ll. 15-24), wherein write operation is enabled

Art Unit: 2181

while the read operation is disabled by the write-disable stated, as data are not read out to be written.

10. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA in view of Kato (US Patent 5,333,290) as applied to claim 2 above, and further in view of Fabre (US Patent 6,993,605).

AAPA and Kato teach all the limitations of claim 2 as discussed above, where AAPA further teaches the data transfer control system further comprising wherein the data transfer conform to the PCI standard (AAPA, Drawings, Fig. 19), it would have been obvious that data can be transfer in a plurality of modes comprising burst mode and repeat transfer of a single word data mode.

AAPA and Kato does not expressly teach the data transfer control system further comprising:

response speed storing means for storing a device response speed of a target device;

transfer speed comparing means for comparing the data transfer rate in a burst transfer mode with the data transfer rate in a data transfer mode ...; and

transfer mode selecting means for selecting the burst transfer mode ... .

Fabre teaches a data transfer control system comprising:

a table (Fig. 2, ref. 170) storing information comprising a plurality of aggregate data transfer rates and corresponding data samples, wherein said information is

characterized by the time delay between data transferred and reception of response from the peripheral device (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42);

a optimizer (Fig. 2, ref. 180) comprising a comparator, base on the information stored in said table, determining the best and/or preferred aggregated data transfer rate (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42); and

selecting data sample to be use as model for future transfer (Fig. 2, ref. 185), wherein the selection would allow the specific peripheral to function at peak speed and efficiency (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42).

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Fabre's table, optimizer and selection of data sample for future transfer into AAPA and Kato's data transfer control system comprising plurality of modes of data transferring for the benefit of providing the peak speed and efficient rate of data transferring between the CPU (master device) and the peripheral (slave device) (Fabre, col. 7, ll. 16-58) to obtain the invention as specified in claim 4.

11. Claims 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA in view of Kato (US Patent 5,333,290) and further in view of Sheafor et al. (US Patent 6,321,285) and Kreifels (US Patent 4,891,788) as applied to claim 3, and further in view of Fabre (US Patent 6,993,605).

AAPA, Kato, Sheafor and Kreifels teaches all the limitations of claim 3 as discussed above, where AAPA further teaches the data transfer control system comprising wherein the data transfer conform to the PCI standard (AAPA, Drawings,

Fig. 19), it would have been obvious that data can be transfer in a plurality of modes comprising burst mode and repeat transfer of a single word data.

AAPA, Kato, Sheafor and Kreifels does note expressly teach the data transfer control system further comprising:

response speed storing means for storing a device response speed of a target device;

transfer speed comparing means for comparing the data transfer rate in a burst transfer mode with the data transfer rate in a data transfer mode ...; and

transfer mode selecting means for selecting the burst transfer mode ....

Fabre teaches a data transfer control system comprising:

a table (Fig. 2, ref. 170) storing information comprising a plurality of aggregate data transfer rates and corresponding data samples, wherein said information is characterized by the time delay between data transferred and reception of response from the peripheral device (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42);

a optimizer (Fig. 2, ref. 180) comprising a comparator, base on the information stored in said table, determining the best and/or preferred aggregated data transfer rate (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42); and

selecting data sample to be use as model for future transfer (Fig. 2, ref. 185), wherein the selection would allow the specific peripheral to function at peak speed and efficiency (Fig. 2; Fig. 5; col. 6, l. 33 to col. 7, l. 58 and col. 9, ll. 13-42).

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Fabre's table, optimizer and selection of data sample for

future transfer into AAPA, Kato, Sheafor and Kreifels' data transfer control system comprising plurality of modes of data transferring for the benefit of providing the peak speed and efficient rate of data transferring between the CPU (master device) and the peripheral (slave device) (Fabre, col. 7, ll. 16-58) to obtain the invention as specified in claim 5.

12. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA in view of Kato (US Patent 5,333,290) as applied to claim 2 above, and further in view of Kreifels (US Patent 4,891,788).

AAPA and Kato teach all the limitations of claim 2 as discussed above, where AAPA further teaches the data transfer control system further comprising wherein the bus cycle controlling means drives next one-word data to be transferred onto a data line (AAPA, Drawings, Data 1 transfer to Data register on Fig. 20A) when the write control line is in the write-enabled state (AAPA, Drawings, write enabled when the byte enable register set to "0000") (Drawings, Fig. 19-20B and Specification, page 1, l. 9 to page 2, l. 7).

AAPA and Kato does not teach the data transfer control system further comprising the bus cycle controlling means drives next one-word data to be transferred onto a data line when the write control line is in the write-disabled state.

Kreifels teaches a data transfer control system comprising a dual port FIFO, wherein said dual port FIFO can implement read operation and write operation

Art Unit: 2181

independently (Fig. 1 and col. 1, ll. 15-24), as the dual ported FIFO can implement the write operation while the read operation is disabled.

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Kreifels's dual port FIFO into AAPA and Kato's data transferring control system for the benefit of providing an truly asynchronous operation, as the write operation and be independent of the read operation (Kreifels, col. 1, ll. 15-24) to obtain the invention as specified in claim 6. The resulting combination of the references teaches the implementing the write operation into the dual port FIFO while the read operation is disabled by the write-disable stated, as data are not read from the dual port FIFO to be written.

13. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over AAPA in view of Kato (US Patent 5,333,290) and further in view of Fabre (US Patent 6,993,605) as applied to claim 4 above, and further in view of Kreifels (US Patent 4,891,788).

AAPA, Kato and Fabre teach all the limitations of claim 4 as discussed above, where AAPA further teaches the data transfer control system further comprising wherein the bus cycle controlling means drives next one-word data to be transferred onto a data line (AAPA, Drawings, Data 1 transferring from data buffer to data register on Fig. 20A) when the write control line is in the write-enabled state (AAPA, Drawings, write enabled when the byte enable register set to "0000") (Drawings, Fig. 19-20B and Specification, page 1, l. 9 to page 2, l. 7).

AAPA, Kato and Fabre does not teach the data transfer control system further comprising the bus cycle controlling means drives next one-word data to be transferred onto a data line when the write control line is in the write-disabled state.

Kreifels teaches a data transfer control system comprising a dual port FIFO, wherein said dual port FIFO can implement read operation and write operation independently (Fig. 1 and col. 1, ll. 15-24), as the dual ported FIFO can implement the write operation while the read operation is disabled.

It would have been obvious to one of ordinary skill in this art, at the time of invention was made to include Kreifels's dual port FIFO into AAPA, Kato and Fabre's data transferring control system for the benefit of providing an truly asynchronous operation, as the write operation and be independent of the read operation (Kreifels, col. 1, ll. 15-24) to obtain the invention as specified in claim 8. The resulting combination of the references teaches the implementing the write operation into the dual port FIFO while the read operation is disabled by the write-disable stated, as data are not read from the dual port FIFO to be written.

**V. CLOSING COMMENTS**

**Conclusion**

**a. STATUS OF CLAIMS IN THE APPLICATION**

The following is a summary of the treatment and status of all claims in the application as recommended by **M.P.E.P. 707.07(i)**:

**a(1) CLAIMS REJECTED IN THE APPLICATION**

Per the instant office action, claims 2-8 and 12 have received a first action on the merits and are subject of a first action non-final.

**b. DIRECTION OF FUTURE CORRESPONDENCES**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chun-Kuan (Mike) Lee whose telephone number is (571) 272-0671. The examiner can normally be reached on 8AM to 5PM.

**IMPORTANT NOTE**


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alford Kindred can be reached on (571) 272-4037. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

October 09, 2007

Chun-Kuan (Mike) Lee  
Examiner  
Art Unit 2181



ALFORD KINDRED  
PRIMARY EXAMINER